### IN THE COURT OF CHANCERY OF THE STATE OF DELAWARE

IN RE GENEDX DE-SPAC LITIGATION	C.A. No. 2023-0140-PAF

## **PROOF OF CLAIM AND RELEASE**

#### I. GENERAL INSTRUCTIONS

- 1. To recover as a member of the Class based on your claims in the action entitled *In re GeneDx De-SPAC Litigation*, C.A. No. 2023-0140-PAF (the "Action"), you must complete and, on page 6 hereof, sign this Proof of Claim and Release. If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Proof of Claim and Release, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed Settlement of the Action.
- 2. Submission of this Proof of Claim and Release, however, does not assure that you will share in the proceeds of the Settlement of the Action.
- 3. THE COURT-APPOINTED SETTLEMENT ADMINISTRATOR FOR THIS ACTION MUST RECEIVE YOUR COMPLETED AND SIGNED PROOF OF CLAIM AND RELEASE, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, AT THE FOLLOWING MAILING ADDRESS OR THROUGH THE FOLLOWING WEBSITE:

CMLS Stockholder Settlement
Settlement Administrator
c/o Gilardi & Co. LLC
P.O. Box 301133
Los Angeles, CA 90030-1133
1-888-726-1439

info@CMLSStockholderSettlement.com
Online submissions: www.CMLSStockholderSettlement.com

YOUR PROOF OF CLAIM AND RELEASE MUST BE SUBMITTED ONLINE OR RECEIVED BY MAIL **NO LATER THAN DECEMBER 12, 2024**.<sup>1</sup>

If you are NOT a member of the Class, as defined in the Notice of Pendency and Proposed Settlement of Stockholder Class Action, Settlement Hearing, and Right to Appear (the "Notice"), DO NOT submit a Proof of Claim and Release.

4. If you are a member of the Class, you will be bound by the terms of any judgment entered in the Action, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM AND RELEASE FORM.

## II. CLAIMANT IDENTIFICATION

If you held shares of CM Life Sciences, Inc. ("CMLS") Class A common stock as of the close of market on July 19, 2021 through July 22, 2021, in your name, you are the beneficial holder as well as the record holder. If, however, you held shares of CMLS Class A common stock on the close of market on July 19, 2021 through July 22, 2021 that was registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial holder and the third party is the record holder.

Use Part I of this form entitled "Claimant Identification" to identify each holder of record ("nominee"), if different from the beneficial holder, of the shares of CMLS Class A common stock which form the basis of this claim. THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL HOLDER OR THE LEGAL REPRESENTATIVE OF SUCH HOLDER OF THE SHARES UPON WHICH THIS CLAIM IS BASED.

All joint holders must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The last four digits of the Social Security Number (or full and complete Taxpayer Identification Number) and telephone number of the beneficial holder may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

If you are acting in a representative capacity on behalf of a member of the Class (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.

<sup>&</sup>lt;sup>1</sup> Proofs of Claim and Release that are legibly postmarked no later than December 12, 2024 will be treated as received on the postmark date. *Please be advised that the U.S. Postal Service may not postmark mail which is not presented in person.* 

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. All such claimants MUST also submit a manually signed paper Proof of Claim and Release listing all of their transactions whether or not they also submit electronic copies. If you wish to submit your claim electronically, you must contact the Settlement Administrator at edata@gilardi.com to obtain the required file layout. Any file not in accordance with the required electronic filing format will be subject to rejection. Only one claim should be submitted for each separate legal entity and the complete name of the beneficial holder(s) of the securities must be entered where called for. Distribution payments must be made by check or electronic payment payable to the Authorized Claimant (beneficial account holder). The third-party filer shall not be the payee of any distribution payment check or electronic distribution payment. No electronic files will be considered to have been properly submitted unless the Settlement Administrator issues to the claimant a written acknowledgement of receipt and acceptance of electronically submitted data. Do not assume that your file has been received until you receive this notification. If you do not receive such an email within 10 days of your submission you should contact the electronic filing department at edata@gilardi.com to inquire about your file and confirm it was received.

## III. CLAIM FORM

Use Part II of this form entitled "Schedule of Transactions in CMLS Class A Common Stock," and Part III of this form entitled "Schedule of Transactions in Sema4 Holdings Corp. Common Stock, and GeneDx Holdings Corp. Common Stock" to supply all required details of your holdings, purchase(s), and sale(s) of CMLS Class A common stock, Sema4 Holdings Corp. common stock, or GeneDx Holdings Corp. common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to: (i) **all** of your shares of CMLS Class A common stock held by you as of the close of the market on July 19, 2021; (ii) **all** of your purchases and sales (including any redemptions) of shares of CMLS Class A common stock after the close of the market on July 19, 2021 through July 22, 2021, regardless of whether such transactions resulted in a profit or a loss; (iii) all of your purchases and sales of Sema4 Holdings Corp. common stock, or GeneDx Holdings Corp. common stock, after the close of the market on July 19, 2021 through February 6, 2023, regardless of whether such transactions resulted in a profit or a loss; and (iv) if applicable, **all** of your shares of CLMS Class A common stock held by you as of July 22, 2021, and all of your shares of Sema4 Holdings Corp. or GeneDX Holdings Corp. common stock held by you as of the close of the market on February 6, 2023. Failure to report all such transactions may result in the rejection of your claim.

List these transactions separately and in chronological order, (i) by number of shares of CMLS Class A common stock held at the close of the market on July 19, 2021, (ii) then by purchase and sale date for all shares of CMLS Class A common stock after the close of the market on July 19, 2021 through July 22, 2021, by purchase and sale date for all shares of Sema4 Holdings Corp. common stock or GeneDx Holdings Corp. common stock after the close of the market on July 19, 2021 through February 6, 2023, beginning with the earliest, (iii) then, if applicable, by number of shares of CMLS Class A common stock held as of July 22, 2021, and number of shares of Sema4 Holdings Corp. or GeneDX Holdings Corp. common stock held as of the close of the market on February 6, 2023. You must accurately provide the month, day and year of each transaction you list.

Copies of stockbroker confirmation slips, stockbroker statements, or other documents evidencing (i) your holdings of CMLS Class A common stock as of the close of the market on July 19, 2021, (ii) your subsequent purchases and sales of CMLS Class A common stock through July 22, 2021 and purchases and sales of Sema4 Holdings Corp. common stock or GeneDx Holdings Corp. common stock, through February 6, 2023, and (iii) your holdings of CMLS Class A common stock held as of July 22, 2021 and holdings of Sema4 Holdings Corp. and GeneDX Holdings Corp. common stock as of the close of the market on February 6, 2023, should be attached to your claim. If any such documents are not in your possession, please obtain a copy or equivalent documents from your broker because these documents are necessary to prove and process your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.

PLEASE NOTE: As set forth in the Plan of Allocation, each Authorized Claimant shall receive his, her, its, or their *pro rata* share of the Net Settlement Fund. If the prorated payment to any Authorized Claimant calculates to less than \$10.00, it will not be included in the calculation and no distribution will be made to that Authorized Claimant.

Official Office Use Only



COURT OF CHANCERY STATE OF DELAWARE

In re GeneDx De-SPAC Litigation

C.A. No. 2023-0140-PAF

## PROOF OF CLAIM AND RELEASE FORM

Must Be Postmarked (if Mailed) or Received (if Submitted Online) No Later Than December 12, 2024

## **CMST**

Please Type or Print in the Boxes Below Must use Black or Blue Ink or your claim may be deemed deficient.

PART I. CLAIMANT IDENTIFICATION Last Name		M.I.	First Name	
Last Name		IVI.I.	riistivanie	
Last Name (Co-Beneficial Owner)		M.I.	First Name (Co-Beneficial Owne	er)
○ IRA ○ Joint Tenancy ○ Er	mployee	Individu	ual Other	
Company Name (Beneficial Owner - If Claimant is	s not an Individual) o	r Custod	ian Name if an IRA (s	pecify)
Trustee/Asset Manager/Nominee/Record Owner's	s Name (If Different f	rom Ben	eficial Owner Listed Above)	
Account#/Fund# (Not Necessary for Individual File	oro)			
Account#/Fund# (Not Necessary for individual File	ers)			
Last Four Digits of Social Security Number	Taxpayer Identifica	tion Num	ıber	
or				
Telephone Number (Primary Daytime)	Telephone Num	ber (Alte	ernate)	
Email Address				
Address MAILING INFORMATION				
Address (cont.)				
City		State	e ZIP Code	
City		State	E ZIF Code	
Foreign Province	Foreign Postal Cod	е	Foreign Country Name	e/Abbreviation
FOR CLAIMS PROCESSING OB CB CB KE ICI	BE FL DR ME ND	OP RE SH	MM/DD/YYY	FOR CLAIMS PROCESSING ONLY



## PART II. SCHEDULE OF TRANSACTIONS IN CMLS CLASS A COMMON STOCK

A. Number of shares of CMLS Class A commo			Proof Enclosed?
as of the close of the market on July 19, 2021:  Purchases of shares of CMLS Class A common stock after the close of the market on July 19, 2021 through July 22, 2021:			
PURCHASES —	ion stock after the close of the ma	, ,	-
Trade Date(s) (List Chronologically)	Number of Shares Purchased	Total Purchase Price (Excluding commissions, taxes and fees)	Proof of Purchase Enclosed?
M M D D Y Y Y			O Y
1. / /	\$		■ 00 N
2. / /	\$		_ 00 Y
3.	\$		_ 00
4. / /	\$		■ 00 Y
5.	\$		00 Y
(ii) If you received shares through an acquisition  M M D D Y Y Y Y  C. Sales or redemptions of shares of CMLS July 22, 2021:  SALES	Merger Shares:	Compar	y 19, 2021 through
Trade Date(s) (List Chronologically)	Number of Shares Sold	(Excluding commissions, taxes and fees)	Proof of Sales Enclosed?
M M D D Y Y Y Y			00 Y
1. / /	\$		00 N
2. / /	\$		- 00 N
3.	\$		■ 00 Y
4. /	\$		■ 00 Y
5. / /	\$		_ 00
D. Number of shares of CMLS Class A commo Sema4 common stock and/or GeneDx Hold			Proof Enclosed?

YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



## PART III. SCHEDULE OF TRANSACTIONS IN SEMA4 HOLDINGS CORP. COMMON STOCK AND GENEDX HOLDINGS CORP. COMMON STOCK

	Number of shares of Sema4 Holdings GeneDx Holdings Corp. common stock of the market on July 19, 2021:			F	Proof Enclosed? Y N	?
	Purchases of shares of Sema4 Holding narket on July 19, 2021 through February PURCHASES		neDx Hol	dings Corp. common stock a	after the close o	of the
	Trade Date(s) (List Chronologically)	Number of Shares Purchased		Total Purchase Price (Excluding commissions, taxes and fees)	Proof Purcha Enclose	ise
	(List Cilionologically)	r dicilased		taxes and lees)	LIICIOSC	su :
1.	M M D D Y Y Y Y		\$		_ 00	Y
2.	/ /		\$		_ 00	Y N
3.	/ /		\$		_ 00	Y N
4.	/ /		\$		_ 00	Y N Y
5.	/ /		\$		00	N
	Sales of shares of Sema4 Holdings Coron July 19, 2021 through February 6, 2  — SALES —  Trade Date(s)		oldings (	Corp. common stock after the  Total Sales Price (Excluding commissions,	close of the ma	of
	(List Chronologically)	Shares Sold		taxes and fees)	Enclose	ed?
	M M D D Y Y Y Y					
1.	/ /		\$		<b>00</b>	Y N
2.			\$		_ 00	Y N
3.			\$		_ 00	Y N Y
4.			\$		_ 00	N Y
5.	/ /		\$		_ 00	N
	Number of shares of Sema4 Holdings or GeneDx Holdings Corp. common sto as of the close of the market on Februa	ock held			Proof Enclosed Y N	?
If vo	u require additional space, attach extra s	schedules in the same format as	above. S	Sign and print your name on e	ach additional p	age.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.

#### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim and Release under the terms of the Stipulation described in the Notice. I (We) also submit to the jurisdiction of the Court of Chancery for the State of Delaware with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Action. I (We) agree to furnish additional information to the Settlement Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with the Action and know of no other person having done so on my (our) behalf.

#### V. RELEASES

- 1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally and forever settle, release, and discharge from the Released Plaintiffs' Claims each and all of the Released Defendant Parties as provided and defined in the Stipulation.
- 2. This release shall be of no force or effect unless and until the Court approves the Stipulation and the Settlement becomes effective on the Effective Date.
- 3. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
- 4. I (We) hereby warrant and represent that I (we) have included information about all of my (our) holdings of CMLS Class A common stock as of the close of the market on July 19, 2021. In addition, I (we) have included information about all of my (our) purchases and sales of shares of CMLS Class A common stock after the close of the market on July 19, 2021 through July 22, 2021 and all of my purchases and sales of shares of Sema4 Holdings Corp. common stock or GeneDx Holdings Corp. common stock after the close of the market on July 19, 2021 through February 6, 2023 and, if applicable, the total number of shares of CMLS Class A common stock held as of July 22, 2021 and the total number of shares of Sema4 Holdings Corp. or GeneDx Holdings Corp. common stock held as of the close of the market on February 6, 2023.

I declare under penalty of perjury under the laws of the State of Delaware that the foregoing information supplied by the undersigned is true and correct.

Executed this day of(Month	h/Year) in (City/State/Country)
(Sign your name here)	(Sign your name here)
(Type or print your name here)	(Type or print your name here)
(Capacity of person(s) signing, <i>e.g.</i> ,  Beneficial Purchaser or Executor or Administrator)	(Capacity of person(s) signing, e.g., Beneficial Purchaser or Executor or Administrator)

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.



### Reminder Checklist:

- 1. Please sign the above release and acknowledgement.
- 2. Remember to attach copies of supporting documentation.
- 3. **Do not send** originals of certificates or other documentation as they will not be returned.
- 4. Keep a copy of your Proof of Claim and Release and all supporting documentation for your records.
- If you desire an acknowledgment of receipt of your Proof of Claim and Release, please send it via Certified Mail, Return Receipt Requested.
- If you move after submitting this Proof of Claim and Release, please notify the Settlement Administrator of the change in your address, otherwise you may not receive additional notices or payment.
- Do not use red pen or highlighter on the Proof of Claim and Release or supporting documentation. You must use black or blue ink or your claim may be deemed deficient.

## THIS CPROOF OF CLAIM AND RELEASE MUST BE SUBMITTED ONLINE OR MAILED NO LATER THAN DECEMBER 12, 2024, ADDRESSED AS FOLLOWS:

CMLS Stockholder Settlement
Settlement Administrator
c/o Gilardi & Co. LLC
P.O. Box 301133
Los Angeles, CA 90030-1133
www.CMLSStockholderSettlement.com



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